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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Joseph KAWAN, et al.

Serial No. 09/588,902

Filed: June 9, 2000

For: METHOD AND SYSTEM FOR CONTROLLING CERTIFICATE BASED OPEN  
PAYMENT TRANSACTIONS



Art Unit: 2766

Examiner: Not Yet Assigned

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609, Applicant, through his attorneys, hereby bring to the attention of the Examiner the references noted on the attached Form PTO-1449. Please note that copies of references are attached.

The Examiner is respectfully requested to initial in the space adjacent to the listing on Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicant, to confirm that these documents have been considered by the Examiner and made of record in this application.

Since this statement is being filed before the first Office Action has been mailed, no fee is believed to be necessary. Please charge any additional fees or credit any overpayment to the applicant's representative's Deposit Account No. 501458.

The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) conferring upon the PTO the duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

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Date: 9/8/00  
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